WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 5:

A1

(11) International Publication Number:

WO 94/02498

C07H 21/00, A61K 31/70

(43) International Publication Date:

3 February 1994 (03.02.94)

(21) International Application Number:

PCT/US93/06884

(22) International Filing Date:

22 July 1993 (22.07.93)

(30) Priority data:

07/918,239

23 July 1992 (23.07.92)

US

(60) Parent Application or Grant

(63) Related by Continuation US

07/918,239 (CIP)

Filed on

23 July 1993 (23.07.93)

(71) Applicant (for all designated States except US): WORCES-TER FOUNDATION FOR EXPERIMENTAL BIOL-OGY [US/US]; 222 Maple Avenue, Shrewsbury, MA 01545 (US). (72) Inventors; and

(75) Inventors/Applicants (for US only): METELEV, Valeri [RU/US]; 50K Shrewsbury Green Drive, Shrewsbury, MA 01545 (US). AGRAWAL, Sudhir [IN/US]; 61 Lamplighter Drive, Shrewsbury, MA 01545 (US).

(74) Agent: McDONNELL, John; Allegretti & Witcoff, Ltd., Ten South Wacker Drive, Chicago, IL 60606 (US).

(81) Designated States: AU, BB, BG, BR, CA, CZ, DK, FI, HU, JP, KP, KR, LK, MG, MN, MW, NL, NO, NZ, PL, PT, RO, RU, SD, SK, UA, US, VN, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, MI, MP, NE, SN, TD, TG) CM, GA, GN, ML, MR, NE, SN, TD, TG).

Published

With international search report.

Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.

(54) Title: HYBRID OLIGONUCLEOTIDE PHOSPHOROTHIOATES

(57) Abstract

The invention provides hybrid oligonucleotides having phosphorothioate or phosphorodithioate internucleotide linkages, and both deoxyribonucleosides and ribonucleosides or 2'-substituted ribonucleosides. Such hybrid oligonucleotides have superior properties of duplex formation with RNA, nuclease resistance, and RNase H activation.

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	FR	France	MR	Mauritania
AU	Australia	GA	Gabon	MW	Malawi
BB	Barbados	GB	United Kingdom	NE	Niger
BE	Belgium	GN	Guinea	NL	Netherlands
BF	Burkina Faso	GR	Greece	NO	Norway
BG	Bulgaria	HU	Hungary	NZ	New Zealand
BJ	Benin	1E	Ireland	PL	Poland
BR	Brazil	ìT	Italy	PT	Portugal
BY	Belarus	JP	Japan	RO	Romania
CA	Canada	KP	Democratic People's Republic	RU	Russian Federation
CF	Central African Republic		of Korea	SD	Sudan
CG	Congo	KR	Republic of Korea	SE	Sweden
CH	Switzerland	KZ	Kazakhstan	SI	Slovenia
CI	Côte d'Ivoire	Li	Liechtenstein	SK	Slovak Republic
CM	Cameroon	LK	Sri Lanka	SN	Senegal
CN	China	LU	Luxembourg	TD	Chad
CS	Czechoslovakia	LŸ	Latvia	TG	Togo
CZ	Czech Republic	MC	Monaco	UA	Ukraine
DE	Germany	MG	Madagascar	US	United States of America
DK	Denmark	ML	Mali	UZ	Uzbekistan
ES	Spain	MN	Mongolia	VN	Viet Nam
FI	Finland		,		

HYBRID OLIGONUCLEOTIDE PHOSPHOROTHIOATES

BACKGROUND OF THE INVENTION

Field of The Invention

5

10

15

20

25

30

The invention relates to synthetic oligonucleotides that are useful for studies of gene expression and in the antisense oligonucleotide therapeutic approach. More particularly, the invention relates to synthetic oligonucleotides that have improved qualities for such applications resulting from modifications in the sugar phosphate backbone of the oligonucleotides.

Summary of The Related Art

The potential for the development of an antisense oligonucleotide therapeutic approach was first suggested in three articles published in 1977 and 1978. Paterson et al., Proc. Natl. Acad. Sci. USA 74: 4370-4374 (1987) discloses that cell-free translation of mRNA can be of oligonucleotide an binding inhibited by the Zamecnik and Stephenson, complementary to the mRNA. Proc. Natl. Acad. Sci. USA 75: 280-284 and 285-288 (1978) discloses that a 13-mer synthetic oligonucleotide that is complementary to a part of the Rous sarcoma virus (RSV) genome inhibits RSV replication in infected chicken fibroblasts and inhibits RSV-mediated transformation of primary chick fibroblasts into malignant sarcoma cells.

These early indications that synthetic oligonucleotides can be used to inhibit virus propagation and neoplasia have been followed by the use of synthetic oligonucleotides to inhibit a wide variety of viruses. Goodchild et al., U.S. Patent No. 4,806,463 (the teachings of which are hereby incorporated by reference) discloses inhibition of Human immunodeficiency virus (HIV) by synthetic oligodeoxynucleotides complementary to various regions of the HIV genome. Leiter et al., Proc.

5

10

15

20

25

30

35

-2-

Natl. Acad. Sci. USA 87: 3430-3434 (1990) discloses synthetic influenza virus by of inhibition Agris et al., Biochemistry 25: 6268oligonucleotides. of synthetic discloses the use (1986)oligonucleotides to inhibit Vesicular stomatitis virus (VSV). Gao et al., Antimicrob. Agents Chem. 34: 808-812 (1990) discloses inhibition of Herpes simplex virus by synthetic oligonucleotides. Birg et al., Nucleic Acids Res. 18: 2901-2908 (1990) discloses inhibition of Simian virus (SV40) by synthetic oligonucleotides. Storey et al., Nucleic Acids Res. 19: 4109-4114 (1991) discloses inhibition of Human papilloma virus (HPV) by synthetic oligonucleotides. The use of synthetic oligonucleotides and their analogs as antiviral agents has recently been extensively reviewed by Agrawal, Tibtech 10: 152-158 (1992).

In addition, synthetic oligonucleotides have been used to inhibit a variety of non-viral pathogens, as well as to selectively inhibit the expression of certain cellular genes.

Thus, the utility of synthetic oligonucleotides as agents to inhibit virus propagation, propagation of nonviral pathogens and selective expression of cellular genes has been well established. However, there is a need for improved oligonucleotides that have greater efficacy in inhibiting such viruses, pathogens and Various investigators have selective gene expression. attempted to meet this need by preparing and testing modifications in their oligonucleotides having internucleotide linkages. Several investigations have shown that such modified oligonucleotides are more effective than their unmodified counterparts. al., Proc. Natl. Acad. Sci. USA 85: 7448-7451 (1988) teaches that oligodeoxynucleoside methylphosphonates are more active as inhibitors of HIV-1 than conventional oligodeoxynucleotides. Agrawal et al., Proc. Natl. Acad.

5

10

15

20

25

30

35

that 7079-7083 (1988)teaches USA <u>85</u>: Sci. various phosphorothioates and oligonucleotide oligonucleotide phosphoramidates are more effective at inhibiting HIV-1 than conventional oligodeoxynucleotides. Agrawal et al., Proc. Natl. Acad. Sci. USA 86: 7790-7794 advantage of oligonucleotide discloses the (1989) phosphorothicates in inhibiting HIV-1 in early and chronically infected cells.

In addition, chimeric oligonucleotides having more than one type of internucleotide linkage within the Chimeric developed. been oligonucleotide have oligonucleotides contain deoxyribonucleosides only, but containing different internucleotide regions Pederson et al., U.S. Patent No. 5,XXX,XXX linkages. (Ser. No. 07/480,269; allowed on 12/24/91), the teachings of which are hereby incorporated by reference, discloses chimeric oligonucleotides having an oligonucleotide phosphodiester or oligonucleotide phosphorothioate core sequence flanked by oligonucleotide phosphoramidates, methylphosphonates or phosphoramidates. Furdon et al., 9193-9204 (1989) discloses Nucleic Acids Res. 17: oligonucleotides having regions oligonucleotide phosphodiesters in addition to either oligonucleotide phosphorothioate or methylphosphonate Quartin et al., Nucleic Acids Res. 17: 7523regions. 7562 (1989) discloses chimeric oligonucleotides having phosphodiesters oligonucleotide of oligonucleotide methylphosphonates. Each of the above deoxyribonucleotide compounds uses phosphorothicates, which have reduced duplex stability. Atabekov et al., FEBS Letters 232: 96-98 (1988) discloses chimeric oligonucleotides in which all internucleotide linkages are phosphodiester linkages, but in which oligoribonucleotides and οf regions oligodeoxyribonucleotides are mixed. Inoune et al., FEBS (1987) discloses chimeric 237-250 Letters, <u> 215:</u>

5

10

15

20

25

30

oligonucleotides having only phosphodiester linkages, and regions of oligodeoxyribonucleotides and 2'-OMe-ribonucleotides. None of these compounds having solely phosphodiester linkages exhibit either endonuclease or exonuclease resistance.

-4-

these modified oligonucleotides of contributed to improving the potential efficacy of the antisense oligonucleotide therapeutic approach. However, in the remain deficiencies certain oligonucleotides, and these deficiencies can limit the effectiveness of such oligonucleotides as therapeutic agents. Wickstrom, J. Biochem. Biophys. Methods 13: 97-102 (1986) teaches that oligonucleotide phosphodiesters are susceptible to nuclease-mediated degradation. nuclease susceptibility can limit the bioavailability of Agrawal et al., Proc. Natl. oligonucleotides in vivo. (1990) teaches that 1401-1405 USA 87: Sci. oligonucleotide phosphoramidates or methylphosphonates when hybridized to RNA do not activate RNase H, the activation of which can be important to the function of antisense oligonucleotides. Agrawal et al., Nucleosides teaches Nucleotides 8: 5-6 (1989)oligodeoxyribonucleotide phosphorothioates have reduced duplex stability when hybridized to RNA.

There is, therefore, a need for improved oligonucleotides that overcome the deficiencies of oligonucleotides that are known in the art. Ideally, such oligonucleotides should be resistant to nucleolytic degradation, should form stable duplexes with RNA, and should activate RNase H when hybridized with RNA.

5

10

15

20

25

30

-5-

BRIEF SUMMARY OF THE INVENTION

The invention provides hybrid oligonucleotides that resist nucleolytic degradation, form stable duplexes with RNA or DNA, and activate RNase H when hybridized with RNA. Oligonucleotides according to the invention provide having phosphorothioate by features phosphorodithicate internucleotide linkages and segments of oligodeoxyribonucleotides as well as segments of 2'-substitutedoligoribonucleotides or either oligoribonucleotides. For purposes of the invention, the term "2'-substituted" means substitution of the 2'-OH of the ribose molecule with, e.g, 2'-OMe, 2'-allyl, 2'-aryl, 2'-alkyl, 2'-halo, or 2'-amino, but not with 2'-H, wherein allyl, aryl, or alkyl groups may be unsubstituted with halo, hydroxy, e.q., substituted, or trifluoromethyl, cyano, nitro, acyl, acyloxy, alkoxy, carboxyl, carbalkoxyl or amino groups.

to provide is invention object of the oligonucleotides that can be used to analyze and explain the importance to the effectiveness of antisense of of nuclease the parameters oligonucleotides resistance, duplex stability and RNase H activation. is invention to Another object of the oligonucleotides that are effective for regulating cellular, pathogen, or viral gene expression at the mRNA level. Yet another object of the invention is to provide therapeutic oligonucleotides that have great efficacy in the antisense oligonucleotide therapeutic approach. Oligonucleotides according to the invention are useful in satisfying each of these objects of the invention.

-6-

BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 shows ion exchange HPLC analysis of nuclease treated oligonucleotides. In panel A, profiles A, B and C are of oligonucleotides F, C and A, respectively after 420 minutes SVPD digestion. In panel B, profile A is of an undigested oligonucleotide phosphodiester and profile B is of the same after 1 minute SVPD digestion.

Figure 2 shows results of RNase H activation studies for oligonucleotides, as described in Example 4.

5

5

10

15

20

25

30

35

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

-7-

invention aspect, the first oligonucleotides that are useful for studying parameters that are important for effective antisense oligonucleotide action. For purposes of the invention, the term oligonucleotide includes polymers of two or more ribonucleotides, deoxyribonucleotides, or both, with ribonucleotide and/or deoxyribonucleotide monomers being connected together via 5' to 3' linkages which may include any of the linkages that are known in the antisense oligonucleotide art. In addition, the term oligonucleotides includes such molecules having modified nucleic acid/bases and/or sugars, as well molecules having added substituents, such as diamines, lipophilic groups. other cholesteryl or preferred combinations of monomers and inter-monomer linkages are discussed in greater detail below.

It is generally believed that the activity of an antisense oligonucleotide depends on the binding of the the target nucleic acid, oligonucleotide to disrupting the function of the target, either hybridization arrest or by destruction of target RNA by These mechanisms of action suggest that two to antisense important be parameters should oligonucleotide activity: duplex stability and RNase H Duplex stability is important, since the activation. oligonucleotide presumably must form a duplex (or triplex in the Hoogsteen pairing mechanism) with the target nucleic acid to act either by hybridization arrest or by RNase H-mediated target destruction. RNase H activation (the ability to activate RNase H when hybridized with target RNA) is implicated when the target nucleic acid is RNA, since such activation can lead to the effective destruction of the target RNA molecule. In addition, for an antisense oligonucleotide to act in vivo, it must survive long enough to interact with the target nucleic

5

10

acid. Given the fact that the <u>in vivo</u> environment contains endonuclease and exonuclease activities, a third parameter arises from this requirement; namely that the antisense oligonucleotide should resist nucleolytic degradation.

-8-

To analyze and explain the importance of each of these parameters to the effectiveness of antisense oligonucleotides, it is necessary to have oligonucleotides that vary in each of these parameters. The properties of several known oligonucleotides are shown in Table I, below.

25

30

35

TABLE I
PROPERTIES OF OLIGONUCLEOTIDES

	Oligonucleotide	Duplex Stability ¹	Nuclease Resistance ²	RNase H Activation ³
5	Oligodeoxyribonucleotide (phosphate)			Yes
	Oligodeoxyribonucleotide phosphorothioate	· Lower	+	Yes
	Oligodeoxyribonucleotide phosphorodithioate	Lower	++	Yes
10	Oligodeoxyribonucleotide selenoate	Lower	+	N.K.
	Oligodeoxyribonucleotide phosphoramidate	Lower	+++	No
15	Oligoribonucleotide (phosphate)	Higher		No
	Oligoribonucleotide phosphorothioate	Higher	+	No
	2'-OMe-Oligonucleotide (phosphate)	Higher	+	No
20	2'-OMe-Oligoribonucleotide (phosphorothioate)	Higher	++	No
	Oligodeoxyribonucleotide methylphosphonate	Lower	+++	No

 Duplex stability of oligonucleotide to complementary oligoribonucleotide under physiological conditions, compared to DNA-RNA stability.

2. Compared from DNA (phosphodiesterase digestion).

3. Activation of RNase H by the duplex formed between oligonucleotide and RNA.

Hybrid oligonucleotides according to the invention form more stable duplexes with complementary RNA than oligodeoxyribonucleotide phosphorothicates. In addition, they are more resistant to endonucleolytic and exonucleolytic degradation than oligodeoxyribonucleotide phosphorothicates and they normally activate RNase H. Consequently, oligonucleotides according to the invention complement the oligonucleotides shown in Table I in

5

10

15

20

25

30

35

studies of the parameters involved in the effectiveness of antisense oligonucleotides.

-10-

With respect to this first aspect of the invention, oligonucleotides according to the invention can have any sequence, since complementary oligonucleotide oligonucleotides used in such study can be prepared Oligonucleotides having any oligonucleotide sequence. invention this aspect of the according to characterized only by the following features. First, at least some of the internucleotide linkages present in to the invention oligonucleotides according phosphorothicate and/or phosphorodithicate linkages. various embodiments, the number of phosphorothicate and/or phosphorodithioate internucleotide linkages can range from 1 to as many internucleotide linkages as are present in the oligonucleotide. Thus, for purposes of the invention, the term oligonucleotide phosphorothicate and/or phosphorodithioate is intended to encompass every preferred embodiment. In a embodiment, such oligonucleotides according to the invention will range from about 2 to about 50 nucleotides in length, and most preferably from about 6 to about 50 nucleotides in preferred embodiment. Thus, in this length. oligonucleotides according to the invention will have phosphorothioate from 1 to about 49 and/or phosphorodithioate internucleotide linkages.

A second feature of oligonucleotides according to this aspect of the invention is the presence of deoxyribonucleosides. Oligonucleotides according to the invention contain at least one deoxyribonucleoside. Preferably oligonucleotides according to the invention contain four or more deoxyribonucleotides in a contiguous block, so as to provide an activating segment for RNase H. In certain preferred embodiments, more than one such activating segment will be present. Such segments may be present at any location within the oligonucleotide.

5

10

15

20

25

30

35

There may be a majority of deoxyribonucleosides in oligonucleotides

-11-

according to the invention. In fact, such oligonucleotides may have as many as all but one nucleoside being deoxyribonucleosides. Thus, in a preferred embodiment, having from about 2 to about 50 nucleosides or most preferably from about 6 to about 50 nucleosides, the number of deoxyribonucleosides present will range from 1 to about 49 deoxyribonucleosides.

A third feature of oligonucleotides according to this aspect of the invention is the presence 2'-substituted ribonucleosides ribonucleosides, combinations thereof. For purposes of the invention, the term "2'-substituted" means substitution of the 2'-OH of the ribose molecule with, e.g, 2'-OMe, 2'-allyl, 2'-aryl, 2'-alkyl, 2'-halo, or 2'-amino, but not with 2'-H, wherein allyl, aryl, or alkyl groups may be unsubstituted halo, with substituted, e.q., trifluoromethyl, cyano, nitro, acyl, acyloxy, alkoxy, carboxyl, carbalkoxyl or amino groups. Oligonucleotides invention contain at least one according to the ribonucleoside and/or 2'-substituted ribonucleoside. In a preferred embodiment, such oligonucleotides have 6 or 2'-substituted ribonucleosides and/or more ribonucleosides to enhance duplex stability. ribonucleosides and/or 2'-substituted ribonucleosides can be present singly, in pairs, or in larger contiguous segments, and may be present at any position within the oligonucleotide or at multiple positions within the Such ribonucleosides and/or oligonucleotide. substituted ribonucleosides may comprise as many as all but one nucleoside within the oligonucleotides. Thus, in a preferred embodiment, having from about 2 to about 50 nucleosides or most preferably from about 6 to about 50 nucleosides, the number of ribonucleosides or 2'-

5

10

15

20

25

30

35

substituted ribonucleosides will range from about 1 to about 49 deoxyribonucleosides.

-12-

The ability to vary the numbers and positions of phosphorothicate and/or phosphorodithicate internucleotide linkages, deoxyribonucleosides, and ribonucleosides or 2'-substituted ribonucleosides allows the investigator to examine in detail how each of these variables affects the parameters of nuclease resistance, duplex stability and RNase H activation. The ability to vary the size of the oligonucleotide allows examination of yet another parameter. In addition, smaller oligos (e.g., dimers) can be used as building blocks for larger oligos. Thus, every such possible embodiment described above is useful in such studies.

In a second aspect, the invention provides hybrid oligonucleotides that are effective in inhibiting viruses, pathogenic organisms, or the expression of The ability to inhibit such agents is cellular genes. clearly important to the treatment of a variety of Oligonucleotides according to this disease states. aspect of the invention share the characteristics of the oligonucleotides, except above-described oligonucleotide sequence of oligonucleotides according to this aspect of the invention is complementary to a nucleic acid sequence that is from a virus, a pathogenic gene. Preferably cellular organism or a oligonucleotides are from about 6 to about 50 nucleotides For purposes of the invention, the term in length. "oligonucleotide sequence that is complementary to a is intended to sequence" acid nucleic oligonucleotide sequence (2 to about 50 nucleotides) that acid sequence under nucleic the to hybridizes physiological conditions, e.g. by Watson-Crick base pairing (interaction between oligonucleotide and singlestranded nucleic acid) or by Hoogsteen base pairing 5

10

15

20

25

30

35

(interaction between oligonucleotide and double-stranded nucleic acid) or by any other means. Such hybridization under physiological conditions is measured as a practical matter by observing interference with the function of the nucleic acid sequence.

which an to acid sequence nucleic The invention oligonucleotide according to the is complementary will vary, depending upon the agent to be inhibited. In many cases the nucleic acid sequence will be a virus nucleic acid sequence. The use of antisense oligonucleotides to inhibit various viruses is well known, and has recently been reviewed in Agrawal, Tibtech 10:152-158 (1992). Viral nucleic acid sequences that are complementary to effective antisense oligonucleotides have been described for many viruses, including human immunodeficiency virus type 1 (U.S. Patent No. 4,806,463, the teachings of which are herein incorporated by reference), Herpes simplex virus (U.S. Patent No. 4,689,320, the teachings of which are hereby incorporated Influenza virus (U.S. Patent No. by reference), 5, XXX, XXX; Ser. No. 07/516,275, allowed June 30, 1992; the teachings of which are hereby incorporated by reference), and Human papilloma virus (Storey et al., Nucleic Acids Res. <u>19</u>:4109-4114 (1991)). complementary to any of these nucleic acid sequences can be used for oligonucleotides according to the invention, as can be oligonucleotide sequences complementary to nucleic acid sequences from any other virus. Additional viruses that have known nucleic acid sequences against which antisense oligonucleotides can be prepared include Foot and Mouth Disease Virus (See Robertson et al., J. Virology <u>54</u>: 651 (1985); Harris et al., J. Virology <u>36</u>: 659 (1980)), Yellow Fever Virus (See Rice et al., Science 229: 726 (1985)), Varicella-Zoster Virus (See Davison and Scott, J. Gen. Virology 67: 2279 (1986), and Cucumber

5

10

15

20

25

30

35

-14-

Mosaic Virus (See Richards et al., Virology 89: 395 (1978)).

Alternatively, oligonucleotides according to the oligonucleotide sequence can have an invention complementary to a nucleic acid sequence of a pathogenic The nucleic acid sequences of many pathogenic organism. organisms have been described, including the malaria organism, Plasmodium falciparum, and many pathogenic Oligonucleotide sequences complementary to bacteria. nucleic acid sequences from any such pathogenic organism in oligonucleotides according to can be used Examples of pathogenic eukaryotes having invention. known nucleic acid sequences against which antisense oligonucleotides can be prepared include Trypanosoma brucei gambiense and Leishmania (See Campbell et al., Nature 311: 350 (1984)), Fasciola hepatica (See Zurita et al., Proc. Natl. Acad. Sci. USA 84: 2340 (1987). Antifungal oligonucleotides can be prepared using a target hybridizing region having an oligonucleotide sequence that is complementary to a nucleic acid sequence from, e.q., the chitin synthetase gene, and antibacterial oligonucleotides can be prepared using, e.q., the alanine racemase gene.

In yet another embodiment, the oligonucleotides according to the invention can have an oligonucleotide sequence complementary to a cellular gene or gene transcript, the abnormal expression or product of which results in a disease state. The nucleic acid sequences of several such cellular genes have been described, including prion protein (Stahl and Prusiner, FASEB J. 5: 2799-2807 (1991)), the amyloid-like protein associated with Alzheimer's disease (U.S. Patent No. 5,015,570, the teachings of which are hereby incorporated by reference), and various well-known oncogenes and proto-oncogenes, such as c-myb, c-myc, c-abl, and n-ras. In addition,

-15-

oligonucleotides that inhibit the synthesis of structural proteins or enzymes involved largely or exclusively in spermatogenesis, sperm motility, the binding of the sperm to the egg or any other step affecting sperm viability may be used as contraceptives for men. Similarly, contraceptives for women may be oligonucleotides that inhibit proteins or enzymes involved in ovulation, fertilization, implantation or in the biosynthesis of hormones involved in those processes.

5

10

15

20

25

30

35

controlled Hypertension be by can oligodeoxynucleotides that suppress the synthesis angiotensin converting enzyme or related enzymes in the renin/angiotensin system; platelet aggregation can be controlled by suppression of the synthesis of enzymes necessary for the synthesis of thromboxane A2 for use in myocardial and cerebral circulatory disorders, infarcts, arteriosclerosis, embolism and thrombosis; deposition of cholesterol in arterial wall can be inhibited suppression of the synthesis of fattyacryl co-enzyme A: transferase in arteriosclerosis; cholesterol acyl inhibition of the synthesis of cholinephosphotransferase may be useful in hypolipidemia.

There are numerous neural disorders in which hybridization arrest can be used to reduce or eliminate adverse effects of the disorder. For example, suppression of the synthesis of monoamine oxidase can be used in Parkinson's disease; suppression of catechol o-methyl transferase can be used to treat depression; and suppression of indole N-methyl transferase can be used in treating schizophrenia.

Suppression of selected enzymes in the arachidonic acid cascade which leads to prostaglandins and leukotrienes may be useful in the control of platelet aggregation, allergy, inflammation, pain and asthma.

Suppression of the protein expressed by the multidrug resistance (mdr) gene, which is responsible for

5

10

15

20

25

30

development of resistance to a variety of anti-cancer drugs and is a major impediment in chemotherapy may prove to be beneficial in the treatment of cancer.

-16-

Oligonucleotide sequences complementary to nucleic acid sequences from any of these genes can be used for oligonucleotides according to the invention, as can be oligonucleotide sequences complementary to any other cellular gene or gene transcript, the abnormal expression or product of which results in a disease state.

Antisense regulation of gene expression in plant cells has been described in U.S. Patent No. 5,107,065, the teachings of which are hereby incorporated by reference.

aspect, the invention provides In third formulations pharmaceutical therapeutic oligonucleotides that are effective for treating virus infection, infections by pathogenic organisms, or disease resulting from abnormal gene expression or from the expression of an abnormal gene product. Such therapeutic pharmaceutical formulations comprise the oligonucleotides according to the second aspect of the invention in a pharmaceutically acceptable carrier.

In a fourth aspect, the invention provides a method for inhibiting the gene expression of a virus, a pathogenic organism or a cellular gene, the method comprising the step of providing oligonucleotides according to the invention to cells infected with the virus or pathogenic organism in the former two cases or to cells generally in the latter case. Such methods are useful in studying gene expression and the function of specific genes.

In a fifth aspect, the invention provides a method of treating a diseased human or animal in which the

5

10

15

20

25

30

35

disease results from infection with a virus or pathogenic organism, or from the abnormal expression or product of a cellular gene. The method comprises administering therapeutic pharmaceutical formulations of oligonucleotides according to the invention to the diseased human or animal. Preferably, the routes of such administration will include oral, intranasal, rectal and topical administration. In such methods of treatment according to the invention the oligonucleotides may be administered in conjunction with other therapeutic agents, e.g., AZT in the case of AIDS.

A variety of viral diseases may be treated by the method of treatment according to the invention, including AIDS, ARC, oral or genital herpes, papilloma warts, flu, foot and mouth disease, yellow fever, chicken pox, shingles, HTLV-leukemia, and hepatitis. Among fungal diseases treatable by the method of treatment according invention are candidiasis, histoplasmosis, the aspergillosis, blastomycosis, cryptococcocis, sporotrichosis, chromomycosis, dermatophytosis coccidioidomycosis. The method can also be used to treat (e.g., typhus, Rocky Mountain rickettsial diseases spotted fever), as well as sexually transmitted diseases caused by Chlamydia trachomatis or Lymphogranuloma venereum. A variety of parasitic diseases can be treated by the method according to the invention, including toxoplasmosis, disease, Chegas' amebiasis, cryptosporidiosis, pneumocystosis, giardiasis, trichomoniasis, and Pneumocystis carini pneumonia; also as ascariasis, diseases) such (helminthic worm filariasis, trichinosis, schistosomiasis and nematode or cestode infections. Malaria can be treated by the method of treatment of the invention regardless of whether it is caused by P. falciparum, P. vivax, P. orale, or P. malariae.

5

10

15

20

25

-18-

The infectious diseases identified above can all be treated by the method of treatment according to the invention because the infectious agents for these diseases are known and thus oligonucleotides according to the invention can be prepared, having oligonucleotide sequence that is complementary to a nucleic acid sequence that is an essential nucleic acid sequence for the propagation of the infectious agent, such as an essential gene.

Other disease states or conditions that are treatable by the method according to the invention result from an abnormal expression or product of a cellular gene. These conditions can be treated by administration of oligonucleotides according to the invention., and have been discussed earlier in this disclosure.

Oligonucleotides according to the invention can be synthesized by procedures that are well known in the art. Alternatively, and preferably such oligonucleotides can be synthesized by the H-phosphonate approach described in U.S. Patent No. 5,XXX,XXX (Ser. No. 07/334,679; allowed on March 10, 1992), the teachings of which are hereby incorporated by reference, and in Agrawal and Tang, Tetrahedron Lett. 31: 7541-7544 (1990). Oligonucleotides according to the invention can be made even more resistant to nucleolytic degradation through the addition of cap structures at the 5' and/or 3' end.

The following examples are intended to further illustrate certain preferred embodiments of the invention and are not intended to be limiting in nature.

5

10

15

20

25

-19-

Example 1 Synthesis of Hybrid Oligonucleotide Phosphorothioates

oligonucleotide phosphorothioates were Hybrid synthesized on CPG on a 5-6 μ mole scale on an automated synthesizer (model 8700, Millipore, Milford, MA) using the H-phosphonate approach described in U.S. Patent No. 5, XXX, XXX (Ser. No. 07/344, 679; allowed on March 19, 1992). Deoxynucleoside H-phosphonates were obtained from 2'-OMe ribonucleotide H-phosphonates were Millipore. synthesized by standard procedures. Segments 2'-OMe nucleoside were oligonucleotides containing assembled by using 2'-OMe ribonucleoside H-phosphonates segments of for the desired cycles. Similarly, oligonucleotides containing deoxyribonucleosides were assembled by using deoxynucleoside H-phosphonates for the assembly, CPG After desired cycles. oligonucleotide H-phosphonate was oxidized with sulfur to generate the phosphorothioate linkage. Oligonucleotides were then deprotected in concentrated NH₄OH at 40°C for 48 hours.

Crude oligonucleotide (about A_{260} units) was analyzed on reverse low pressure chromatography on a C_{18} reversed phase medium. The DMT group was removed by treatment with 80% aqueous acetic acid, then the oligonucleotides were dialyzed against distilled water and lyophilized.

The oligonucleotides synthesized are shown in Table II, below.

TABLE II
HYBRID OLIGONUCLEOTIDE PHOSPHOROTHIOATES* SYNTHESIZED

Oligo	Structure
A	SACACCCAATTCTGAAAATGG3
В	A C A C C C A A T T C <u>U G A A A A U G</u> G
С	A C A C C C A A T T C T G A A A A U G G
D	<u>AC</u> ACC <u>CA</u> ATTC <u>UG</u> AAAA <u>UG</u> G
E	A <u>C A C C C A A U</u> T C T G A A A A T G G
F	A C A C C C A A U U C U G A A A A U G

10

5

Underlined sequences contain 2'-OMe ribonucleoside.

Example 2 Relative Nuclease Resistance of Hybrid Oligonucleotide Phosphorothicates

To test the relative nuclease resistance of various 15 phosphorothioates, the hybrid oligonucleotide were treated with snake oligonucleotides phosphodiesterase (SVPD). About 0.2 A260 units of oligos A, C and F were dissolved in 500µl buffer (40mM NH4CO3, pH 0.4 + 20mM MgCl₂) and mixed with ____ units SVPD. 20 mixture was incubated at 37°C for 420 minutes. After 0, 200 and 420 minutes, $165\mu l$ aliquots were removed and analyzed using ion exchange HPLC. The results are shown in Figure 1. Oligonucleotide F was very resistant to phosphodiesterase, whereas oligonucleotide A was digested 25 almost to completion and oligonucleotide C was digested to 50% (panel A). An oligonucleotide phosphodiester was

^{*} All internucleotide linkages are phosphorothioate linkages for oligos A-G.

5

10

15

20

25

-21-

digested to about 80% in one minute using one tenth of the concentration of SVPD.

These results indicate that the presence of 2'-OMe ribonucleosides in an oligonucleotide phosphorothioate enhances resistance to exonucleolytic digestion and that increased when a larger enhanced resistance proportion of 2'-OMe ribonucleotides are used. Due to the similar character and behavior of ribonucleotides, ribonucleotides and 2 '-OMe 2'-substituted other ribonucleotides, these results also suggest that similar enhancement of nuclease resistance would be obtained for phosphorothioates oligonucleotide ribonucleotides, phosphorodithioates having mixture of ribonucleotides, or a substituted ribonucleotides and 2'-substituted ribonucleotides.

Example 3

Relative Duplex Stability of Hybrid Oligonucleotide Phosphorothioates

Oligonucleotides A-F were tested for their relative with complementary duplexes formed stability of with complementary oligodeoxyribonucleotides, and In separate reactions, oligoribonucleotides. oligonucleotide A-F was mixed with an equivalent quantity (0.2 A260 units) of its complementary oligonucleotide in 150 mM NaCl, 10mM Na₂PO₄, 1mM EDTA, pH 7. The mixture was heated to 85°C for 5 minutes, then cooled to 30°C. temperature was then increased from 30°C to 80°C at a rate of 1°C per minute and A_{260} was recorded as a function of temperature. The results are shown in Table III, below.

TABLE III
MELTING TEMPERATURE OF DUPLEXES

Oligonucleotide Number	DNA-DNA Duplex	Duplex	DNA-RNA Duplex	Duplex	Tm RNA Duplex-Tm RNA Duplex-Tm CO	DNA-RNA Duplex w/Magnesium	Duplex lesium
	Tm DNA	Difference in	Tm RNA	Difference in		Tm RNA	Difference in
	(၁.)	Tm compared	(၁.)	Tm compared		(Mg)(°C)	Tm
		ţ,		to			compared to
		oligonucleo-		oligonucleo-			oligonucleo-
		tide A(°C)		tide A(°C)			tide A(°C)
A	51.1	0	43.4	0	7.7-	48.1	0
æ	48.3	-2.8	50.9	7.5	2.6	58.4	10.3
၁	49.9	-1.2	48.9	5.5	-1.0	54.2	6.1
q	45.1	-6.0	50.9	7.5	5.8	56.1	8.0
Æ	47.2	3.9	51.1	7.7	3.9	56.5	8.4
S -	47.6	-3.5	61.1	1.71	13.5	69.1	21.0

These results reveal that when the complementary oligonucleotide is an oligoribonucleotide, the presence of 2'-OMe ribonucleotides enhances duplex stability, and that this enhancement increases with increased proportions of 2'-OMe ribonucleosides. These results should be similarly applicable to hybrid oligonucleotide phosphorothioates and/or phosphorodithioates containing ribonucleotides, 2'substituted ribonucleotides, or mixtures of ribonucleotides Thus, the hybrid and 2'-substituted ribonucleotides. phosphorothioates and/or oligonucleotide phosphorodithicates according to the invention should bind viral RNA or virus, pathogenic organism or cellular mRNA with greater affinity than ordinary oligodeoxynucleotide phosphorothioates.

15

20

25

30

10

Example 4

Activation of RNase H by Hybrid Oligonucleotide Phosphorothicates

Oligonucleotide phosphorothicates and various hybrid oligonucleotide phosphorothicates were studied for their RNase H activation properties. Oligonucleotide A (Table II), an oligonucleotide phosphorothicate which is known to activate RNase H, was used as a control. Oligonucleotide F (a 2'-OMe analog of oligonucleotide phosphorothicate) and oligonucleotides C, B, and E, hybrid oligonucleotides, were studied for their ability to activate RNase H.

To carry out the experiment, a complementary 32-mer oligoribonucleotide was synthesized (Figure 2) and kinased at the 5'-end, $^{32}\text{P-labeled}$ 32-mer RNA (0.003 A_{260} units; 0.01 μg) and oligonucleotides (0.0635 A_{260} units; 1.9 μg) were mixed in the 20 μl of buffer (0.15 M NaCl, 0.01 MgCl₂, 0.01 M Tris chloride, pH 7.9, containing 0.001 M DTT. The mixture was incubated with 6 units of RNase H (E.Coli) at 37°C. Aliquots of 4.5 μl were removed at 0, 15, 30, and 60 minutes and analyzed on polyacrylamide gel electrophoresis.

-24-

Oligonucleotide A (Duplex A) showed site specific cleavage of RNA by RNase H. Oligonucleotide F (2'-OMe analog; Duplex B) showed no cleavage of RNA in presence of RNase H. Hybrid oligonucleotide B, C, and E (Duplexes C, D, E, resp.) showed site specific cleavage of RNA by RNase H. Duplex F, in which a mismatched oligonucleotide phosphorothicate was studied showed no cleavage of RNA. Lane G shows that in presence of RNase H, RNA was not cleaved.

10

15

20

25

30

Example 5

Inhibition of HIV by Hybrid Oligonucleotide Phosphorothicates

Hybrid oligonucleotide phosphorothioates were tested for their ability to inhibit HIV-1 in tissue culture. H9 lymphocytes were infected with HIV-1 virions (≡0.01 - 0.1 TCID₅₀/cell) for one hour at 37°C. After one hour, unadsorbed virions were washed and the infected cells were divided among wells of 24 well plates. To the infected cells, an appropriate concentration (from stock solution) of oligonucleotide was added to obtain the required concentration in 2 ml medium. In a positive control experiment ddC or AZT was added. The cells were then cultured for three days. At the end of three days, supernatant from the infected culture was collected and measured for p24 expression by ELISA. The level of expression of -24 was compared between oligonucleotide treated and untreated (no drug) infected cells.

All of the hybrid oligonucleotide phosphorothicates tested showed significant inhibition of p24 expression at $\mu g/ml$ concentrations, without significant cytotoxicity (data not shown). These results indicate that hybrid oligonucleotide phosphorothicates containing 2'-OMe ribonucleotides are effective as inhibitors of gene

expression. Similar effectiveness would be expected for hybrid oligonucleotide phosphorothioates and/or phosphorodithioates containing ribonucleosides, 2'-substituted ribonucleosides, or a mixture of ribonucleosides and 2'-substituted ribonucleosides.

WE CLAIM:

10

15

20

25

30

- A hybrid oligonucleotide phosphorothicate and/or phosphorodithicate comprising one each of the following: a deoxyribonucleoside, a ribonucleoside or a 2'-substituted ribonucleoside, and a phosphorothicate and/or phosphorodithicate internucleotide linkage.
 - 2. A hybrid oligonucleotide phosphorothicate and/or phosphorodithicate according to claim 1, wherein the deoxyribonucleoside is present in a segment of at least four contiguous deoxyribonucleosides.
 - 3. A hybrid oligonucleotide phosphorothicate and/or phosphorodithicate according to claim 1, wherein the ribonucleoside or 2'-substituted ribonucleoside is present in a segment of at least two contiguous ribonucleosides and/or 2'-substituted ribonucleosides.
 - 4. A hybrid oligonucleotide phosphorothioate and/or phosphorodithioate according to claim 1, having an oligonucleotide sequence that is complementary to a nucleic acid sequence from a virus, a pathogenic organism, or a cellular gene.
 - 5. A hybrid oligonucleotide phosphorothioate and/or phosphorodithioate according to claim 2, having an oligonucleotide sequence that is complementary to a nucleic acid sequence from a virus, a pathogenic organism, or a cellular gene.
 - 6. A hybrid oligonucleotide phosphorothicate and/or phosphorodithicate according to claim 3, having an oligonucleotide sequence that is complementary to a nucleic acid sequence from a virus, a pathogenic organism, or a cellular gene.

7. A therapeutic pharmaceutical formulation comprising an oligonucleotide according to claim 4 in a pharmaceutically acceptable carrier.

-27-

- 8. A therapeutic pharmaceutical formulation comprising an oligonucleotide according to claim 5 in a pharmaceutically acceptable carrier.
 - 9. A therapeutic pharmaceutical formulation comprising an oligonucleotide according to claim 6 in a pharmaceutically acceptable carrier.
- 10. A method of inhibiting the gene expression of a virus, a pathogenic organism, or a cellular gene, the method comprising the step of providing an oligonucleotide according to claim 4 to a cell that is infected with a virus, to a pathogenic organism, or to a cell, respectively.
 - 11. A method of inhibiting the gene expression of a virus, a pathogenic organism, or a cellular gene, the method comprising the step of providing an oligonucleotide according to claim 5 to a cell that is infected with a virus, to a pathogenic organism, or to a cell, respectively.

20

12. A method of inhibiting the gene expression of a virus, a pathogenic organism, or a cellular gene, the method comprising the step of providing an oligonucleotide according to claim 6 to a cell that is infected with a virus, to a pathogenic organism, or to a cell, respectively.

1/4

FIGURE la

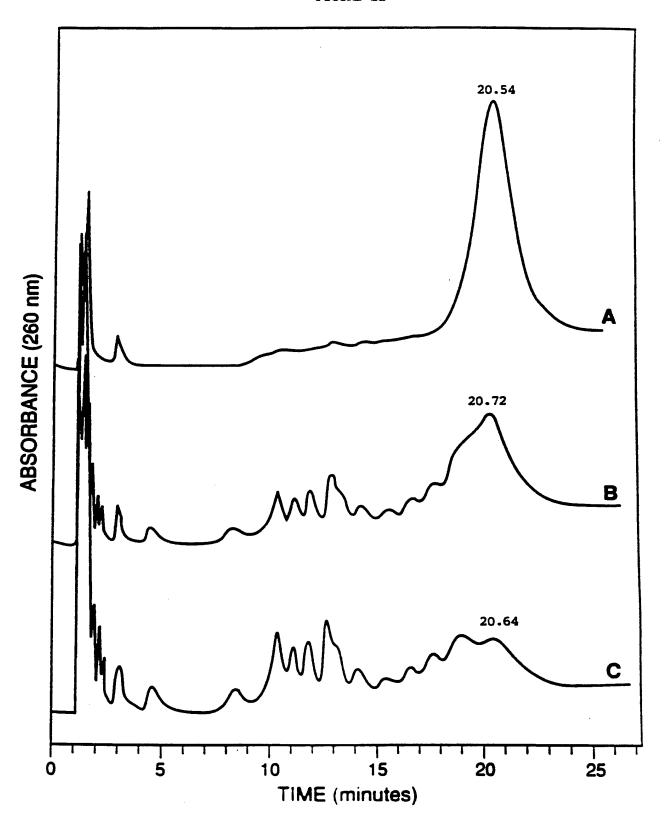


FIGURE 1b

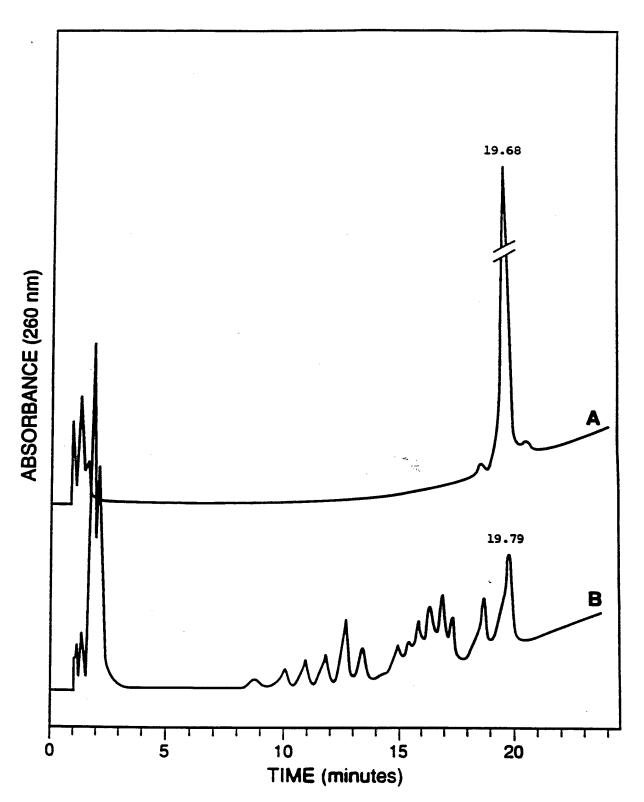


FIGURE 2

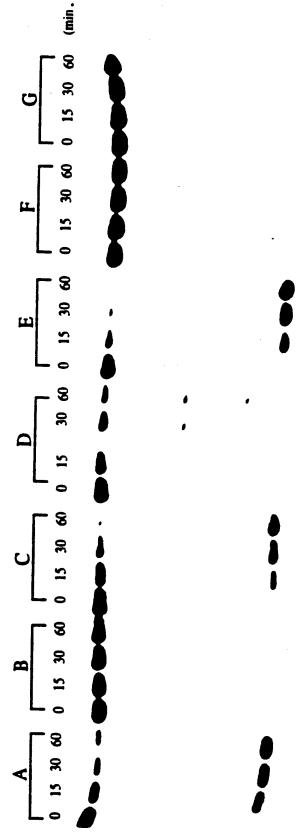


	FIGURE 2 CONT.	Oligo- nucleotide	Duples
RNA J	UACAGCUGUGGGUUAAGACUUUUACCUAUUUG S'ACACCCAATTGAAATGG	A	-
ANA J	UACAGCUGUGGGUUAAGACUUUACCUAUUG S.	Ŧ	2
ANA J	UACAGCUGUGGGUUAAGACUUUUACCUAUUUG S Acaccaattetgaa <u>aaug</u> g	၁	က
RNA J	UACAGCUGUGGGUUAAG <u>acuuuuac</u> cuauuug s. Acacccaatt c <u>ugaaaaug</u> g	8	4
RNA J	UACAGCU <u>GUGGGUUAAGACUUUUACCUAUUUG S</u> A <u>cacceaau</u> tetgaaatgg	ш	ഹ
RNA T	UACAGCUGUGGGUUAAGACUUUUACCUAUUUG S'ACAGACTTACCT CAGATAAT	Mis- matched	9
ANA F	UACAGCUQUGGGUUAAGACUUUAACCUAUUUG S'	•	•
	z7.0CM,-ribo units		

INTERNATIONAL SEARCH REPORT

ional Application No Int PCT/US 93/06884

A. CLASSIFICATION OF SUBJECT MATTER IPC 5 C07H21/00 A61K31/70

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

 $\begin{array}{ll} \mbox{Minimum documentation searched} & \mbox{(classification system followed by classification symbols)} \\ \mbox{IPC 5} & \mbox{C07H} & \mbox{A61K} & \mbox{C12N} \end{array}$

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	TRENDS IN BIOTECHNOLOGY vol. 10, no. 5 , May 1992 , CAMBRIDGE GB pages 152 - 158 AGRAWAL S. 'Antisense oligonucleotides as antiviral agents' cited in the application see table 2	1-12
Y	WO,A,90 15814 (MEIOGENICS INC) 27 December 1990 see the whole document	1-12
	-/	

Y Further documents are listed in the continuation of box C.	Patent family members are listed in annex.
*Special categories of cited documents: A document defining the general state of the art which is not considered to be of particular relevance E earlier document but published on or after the international filing date L document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) O document referring to an oral disclosure, use, exhibition or other means P document published prior to the international filing date but later than the priority date claimed	 "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art. "&" document member of the same patent family
Date of the actual completion of the international search	Date of mailing of the international search report
16 November 1993	3 0. 11. 93
Name and mailing address of the ISA	Authorized officer
European Patent Office, P.B. 5818 Patentiaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016	DAY, G

INTERNATIONAL SEARCH REPORT

Inta ional Application No
PCT/US 93/06884

		PCT/US 93	706884
C.(Continua	tion) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category *	Citation of document, with indication, where appropriate, of the relevant passages		Relevant to claim No.
Y	NUCLEIC ACIDS RESEARCH vol. 19, no. 11, 1991, ARLINGTON, VIRGINIA US pages 2979 - 2986 KIBLER-HERZOG L. ET AL 'Duplex stabilities of phosphorothioate, methylphosphonate, and RNA analogs of two DNA 14-mers' see abstract		1-12
Y	NUCLEIC ACIDS RESEARCH vol. 17, no. 1 , 1989 , ARLINGTON, VIRGINIA US pages 239 - 252 SHIBAHARA S. ET AL 'Inhibition of human immunodeficiency virus (HIV-1) replication by synthetic oligo-RNA derivatives' see abstract		1-12
Y	FEBS LETTERS vol. 215, no. 2 , May 1987 , AMSTERDAM NL pages 327 - 330 INOUE H ET AL 'Sequence-dependent hydrolysis of RNA using modified oligonucleotide splints and RNase H' cited in the application see the whole document		1-12

INTERNATIONAL SEARCH REPORT

Int. ..ational application No.

PCT/US 93/06884

Box I	Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)
This inte	ernational search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:
1. X	Claims Nos.: because they relate to subject matter not required to be searched by this Authority, namely: Remark: Although calims 10-12 are partially directed to a method of treatment of the human/animal body, the search has been carried out and based on the alleged effects of the compound/composition.
2.	Claims Nos.: because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
3.	Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).
Box II	Observations where unity of invention is lacking (Continuation of item 2 of first sheet)
This In	ternational Searching Authority found multiple inventions in this international application, as follows:
1.	As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2.	As all searchable claims could be searches without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3.	As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
4.	No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:
Rema	The additional search fees were accompanied by the applicant's protest. No protest accompanied the payment of additional search fees.

Information on patent family members

Int ional Application No
PCT/US 93/06884

Patent document ted in search report	Publication date	Patent mem	family ber(s)	Publication date
0-A-9015814	27-12-90	AU-A-	5931290	08-01-91
	,			
				× .



PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 5:
C07H 21/00, A61K 31/70

A1

(11) International Publication Number: WO 94/02498

(43) International Publication Date: 3 February 1994 (03.02.94)

(21) International Application Number: PCT/US93/06884 (7)

(22) International Filing Date: 22 July 1993 (22.07.93)

(30) Priority data: 07/918,239 23 July 1992 (23.07.92) US

(60) Parent Application or Grant
(63) Related by Continuation
US
Filed on
07/918,239 (CIP)
23 July 1993 (23.07.93)

(71) Applicant (for all designated States except US): WORCES-TER FOUNDATION FOR EXPERIMENTAL BIOL-OGY [US/US]; 222 Maple Avenue, Shrewsbury, MA 01545 (US). (72) Inventors; and

(75) Inventors/Applicants (for US only): METELEV, Valeri [RU/US]; 50K Shrewsbury Green Drive, Shrewsbury, MA 01545 (US). AGRAWAL, Sudhir [IN/US]; 61 Lamplighter Drive, Shrewsbury, MA 01545 (US).

(74) Agent: McDONNELL, John; Allegretti & Witcoff, Ltd., Ten South Wacker Drive, Chicago, IL 60606 (US).

(81) Designated States: AU, BB, BG, BR, CA, CZ, DK, FI, HU, JP, KP, KR, LK, MG, MN, MW, NL, NO, NZ, PL, PT, RO, RU, SD, SK, UA, US, VN, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).

Published

With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.

(54) Title: HYBRID OLIGONUCLEOTIDE PHOSPHOROTHIOATES

(57) Abstract

The invention provides hybrid oligonucleotides having phosphorothioate or phosphorodithioate internucleotide linkages, and both deoxyribonucleosides and ribonucleosides or 2'-substituted ribonucleosides. Such hybrid oligonucleotides have superior properties of duplex formation with RNA, nuclease resistance, and RNase H activation.

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	FR	France	MR	Mauritania
AU	Australia	GA	Gabon	MW	Malawi
BB	Barbados	GB	United Kingdom	NE	Niger
BE	Belgium	GN	Guinea	NL	Netherlands
BF	Burkina Faso	GR	Greece	NO	Norway
BG	Bulgaria	AU	Hungary	NZ	New Zealand
ВJ	Benin	IE	Ireland	PL	Poland
BR	Brazil	IT	Italy	PT	Portugal
BY	Belarus	JP	Japan	RO	Romania
CA	Canada	KP	Democratic People's Republic	RU	Russian Federation
CF	Central African Republic		of Korea	SD	Sudan
CG	Congo	KR	Republic of Korea	SE	Sweden
CH	Switzerland	KZ	Kazakhstan	SI.	Slovenia
CI	Côte d'Ivoire	LI	Liechtenstein	SK	Slovak Republic
CM	Cameroon	LK	Sri Lanka	SN	Senegal
CN	China	LU	Luxembourg	TD	Chad
CS	Czechoslovakia	LŸ	Latvia	TG	Togo
CZ	Czech Republic	MC	Monaco	UA	Ukraine
DE	Germany	MG	Madagascar	US	United States of America
DK	Denmark	ML	Mali	UZ	Uzbekistan
ES	Spain	MN	Mongolia	VN	Viet Nam
FI	Finland		J		

HYBRID OLIGONUCLEOTIDE PHOSPHOROTHIOATES

BACKGROUND OF THE INVENTION

Field of The Invention

5

10

15

20

25

30

The invention relates to synthetic oligonucleotides that are useful for studies of gene expression and in the antisense oligonucleotide therapeutic approach. More particularly, the invention relates to synthetic oligonucleotides that have improved qualities for such applications resulting from modifications in the sugar phosphate backbone of the oligonucleotides.

Summary of The Related Art

The potential for the development of an antisense oligonucleotide therapeutic approach was first suggested in three articles published in 1977 and 1978. et al., Proc. Natl. Acad. Sci. USA 74: 4370-4374 (1987) discloses that cell-free translation of mRNA can be oligonucleotide binding of an by the inhibited Zamecnik and Stephenson, complementary to the mRNA. Proc. Natl. Acad. Sci. USA 75: 280-284 and 285-288 (1978) discloses that a 13-mer synthetic oligonucleotide that is complementary to a part of the Rous sarcoma virus (RSV) genome inhibits RSV replication in infected chicken fibroblasts and inhibits RSV-mediated transformation of primary chick fibroblasts into malignant sarcoma cells.

synthetic that indications early These oligonucleotides can be used to inhibit virus propagation and neoplasia have been followed by the use of synthetic oligonucleotides to inhibit a wide variety of viruses. 4,806,463 Patent No. Goodchild et al., U.S. teachings of which are hereby incorporated by reference) discloses inhibition of Human immunodeficiency virus (HIV) by synthetic oligodeoxynucleotides complementary to various regions of the HIV genome. Leiter et al., Proc.

10

15

20

25

30

35

Natl. Acad. Sci. USA 87: 3430-3434 (1990) discloses synthetic virus by influenza inhibition of Agris et al., Biochemistry 25: 6268oligonucleotides. the use of synthetic discloses (1986)6275 oligonucleotides to inhibit Vesicular stomatitis virus (VSV). Gao et al., Antimicrob. Agents Chem. 34: 808-812 (1990) discloses inhibition of Herpes simplex virus by synthetic oligonucleotides. Birg et al., Nucleic Acids Res. 18: 2901-2908 (1990) discloses inhibition of Simian virus (SV40) by synthetic oligonucleotides. Storey et al., Nucleic Acids Res. 19: 4109-4114 (1991) discloses inhibition of Human papilloma virus (HPV) by synthetic oligonucleotides. The use of synthetic oligonucleotides and their analogs as antiviral agents has recently been extensively reviewed by Agrawal, Tibtech 10: 152-158 (1992).

In addition, synthetic oligonucleotides have been used to inhibit a variety of non-viral pathogens, as well as to selectively inhibit the expression of certain cellular genes.

Thus, the utility of synthetic oligonucleotides as agents to inhibit virus propagation, propagation of nonviral pathogens and selective expression of cellular genes has been well established. However, there is a need for improved oligonucleotides that have greater efficacy in inhibiting such viruses, pathogens and selective gene expression. Various investigators have attempted to meet this need by preparing and testing modifications in their having oligonucleotides internucleotide linkages. Several investigations have shown that such modified oligonucleotides are more effective than their unmodified counterparts. Sarin et al., Proc. Natl. Acad. Sci. USA 85: 7448-7451 (1988) teaches that oligodeoxynucleoside methylphosphonates are more active as inhibitors of HIV-1 than conventional oligodeoxynucleotides. Agrawal et al., Proc. Natl. Acad.

10

15

20

25

30

35

teaches that 7079-7083 (1988)USA 85: Sci. phosphorothioates various and oligonucleotide oligonucleotide phosphoramidates are more effective at inhibiting HIV-1 than conventional oligodeoxynucleotides. Agrawal et al., Proc. Natl. Acad. Sci. USA 86: 7790-7794 advantage of oligonucleotide discloses the in inhibiting HIV-1 in early phosphorothioates chronically infected cells.

In addition, chimeric oligonucleotides having more than one type of internucleotide linkage within the Chimeric developed. have been oligonucleotide oligonucleotides contain deoxyribonucleosides only, but internucleotide containing different regions Pederson et al., U.S. Patent No. 5,XXX,XXX linkages. (Ser. No. 07/480,269; allowed on 12/24/91), the teachings of which are hereby incorporated by reference, discloses chimeric oligonucleotides having an oligonucleotide phosphodiester or oligonucleotide phosphorothioate core sequence flanked by oligonucleotide phosphoramidates, methylphosphonates or phosphoramidates. Furdon et al., 9193-9204 (1989) discloses Nucleic Acids Res. 17: regions oligonucleotides having oligonucleotide phosphodiesters in addition to either oligonucleotide phosphorothioate or methylphosphonate Quartin et al., Nucleic Acids Res. 17: 7523-7562 (1989) discloses chimeric oligonucleotides having phosphodiesters oligonucleotide of oligonucleotide methylphosphonates. Each of the above deoxyribonucleotide compounds uses phosphorothicates, which have reduced duplex stability. Atabekov et al., FEBS Letters 232: 96-98 (1988) discloses chimeric oligonucleotides in which all internucleotide linkages are phosphodiester linkages, but in which oligoribonucleotides o f regions oligodeoxyribonucleotides are mixed. Inoune et al., FEBS chimeric (1987) discloses 237-250 Letters, **215:**

5

10

15

20

25

30

oligonucleotides having only phosphodiester linkages, and regions of oligodeoxyribonucleotides and 2'-OMe-ribonucleotides. None of these compounds having solely phosphodiester linkages exhibit either endonuclease or exonuclease resistance.

oligonucleotides these modified Many of contributed to improving the potential efficacy of the antisense oligonucleotide therapeutic approach. However, the remain in known deficiencies certain oligonucleotides, and these deficiencies can limit the effectiveness of such oligonucleotides as therapeutic agents. Wickstrom, J. Biochem. Biophys. Methods 13: 97-102 (1986) teaches that oligonucleotide phosphodiesters are susceptible to nuclease-mediated degradation. nuclease susceptibility can limit the bioavailability of Agrawal et al., Proc. Natl. oligonucleotides in vivo. (1990) teaches that USA 87: 1401-1405 Sci. oligonucleotide phosphoramidates or methylphosphonates when hybridized to RNA do not activate RNase H, the activation of which can be important to the function of antisense oligonucleotides. Agrawal et al., Nucleosides (1989)teaches Nucleotides 5-6 8: oligodeoxyribonucleotide phosphorothioates have reduced duplex stability when hybridized to RNA.

There is, therefore, a need for improved oligonucleotides that overcome the deficiencies of oligonucleotides that are known in the art. Ideally, such oligonucleotides should be resistant to nucleolytic degradation, should form stable duplexes with RNA, and should activate RNase H when hybridized with RNA.

10

15

20

25

30

BRIEF SUMMARY OF THE INVENTION

The invention provides hybrid oligonucleotides that resist nucleolytic degradation, form stable duplexes with RNA or DNA, and activate RNase H when hybridized with RNA. Oligonucleotides according to the invention provide having phosphorothicate features by phosphorodithioate internucleotide linkages and segments of oligodeoxyribonucleotides as well as segments of 2'-substitutedoligoribonucleotides or either oligoribonucleotides. For purposes of the invention, the term "2'-substituted" means substitution of the 2'-OH of the ribose molecule with, e.g, 2'-OMe, 2'-allyl, 2'-aryl, 2'-alkyl, 2'-halo, or 2'-amino, but not with 2'-H, wherein allyl, aryl, or alkyl groups may be unsubstituted halo. with hydroxy, substituted, e.q., or trifluoromethyl, cyano, nitro, acyl, acyloxy, carboxyl, carbalkoxyl or amino groups.

invention provide is to the object of oligonucleotides that can be used to analyze and explain the importance to the effectiveness of antisense the parameters of nuclease of oligonucleotides resistance, duplex stability and RNase H activation. invention is to provide of the object Another oligonucleotides that are effective for regulating cellular, pathogen, or viral gene expression at the mRNA level. Yet another object of the invention is to provide therapeutic oligonucleotides that have great efficacy in the antisense oligonucleotide therapeutic approach. Oligonucleotides according to the invention are useful in satisfying each of these objects of the invention.

5

-6-

BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 shows ion exchange HPLC analysis of nuclease treated oligonucleotides. In panel A, profiles A, B and C are of oligonucleotides F, C and A, respectively after 420 minutes SVPD digestion. In panel B, profile A is of an undigested oligonucleotide phosphodiester and profile B is of the same after 1 minute SVPD digestion.

Figure 2 shows results of RNase H activation studies for oligonucleotides, as described in Example 4.

10

15

20

25

30

35

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

the invention aspect, oligonucleotides that are useful for studying the parameters that are important for effective antisense oligonucleotide action. For purposes of the invention, the term oligonucleotide includes polymers of two or more ribonucleotides, deoxyribonucleotides, or both, with ribonucleotide and/or deoxyribonucleotide monomers being connected together via 5' to 3' linkages which may include any of the linkages that are known in the antisense oligonucleotide art. In addition, the term oligonucleotides includes such molecules having modified nucleic acid/bases and/or sugars, as well as such molecules having added substituents, such as diamines, lipophilic groups. Certain other cholesteryl or preferred combinations of monomers and inter-monomer linkages are discussed in greater detail below.

It is generally believed that the activity of an antisense oligonucleotide depends on the binding of the the target nucleic acid, oligonucleotide to disrupting the function of the target, either hybridization arrest or by destruction of target RNA by These mechanisms of action suggest that two RNase H. antisense important to be should parameters oligonucleotide activity: duplex stability and RNase H Duplex stability is important, since the oligonucleotide presumably must form a duplex (or triplex in the Hoogsteem pairing mechanism) with the target nucleic acid to act either by hybridization arrest or by RNase H-mediated target destruction. RNase H activation (the ability to activate RNase H when hybridized with target RNA) is implicated when the target nucleic acid is RNA, since such activation can lead to the effective destruction of the target RNA molecule. In addition, for an antisense oligonucleotide to act in vivo, it must survive long enough to interact with the target nucleic

5

10

acid. Given the fact that the <u>in vivo</u> environment contains endonuclease and exonuclease activities, a third parameter arises from this requirement; namely that the antisense oligonucleotide should resist nucleolytic degradation.

-8-

To analyze and explain the importance of each of these parameters to the effectiveness of antisense oligonucleotides, it is necessary to have oligonucleotides that vary in each of these parameters. The properties of several known oligonucleotides are shown in Table I, below.

10

15

20

TABLE I
PROPERTIES OF OLIGONUCLEOTIDES

Oligonucleotide	Duplex Stability ¹	Nuclease Resistance ²	RNase H Activation ³
Oligodeoxyribonucleotide (phosphate)			Yes
Oligodeoxyribonucleotide phosphorothioate	Lower	+	Yes
Oligodeoxyribonucleotide phosphorodithioate	Lower	++	Yes
Oligodeoxyribonucleotide selenoate	Lower	+	N.K.
Oligodeoxyribonucleotide phosphoramidate	Lower	+++	No
Oligoribonucleotide (phosphate)	Higher		No
Oligoribonucleotide phosphorothioate	Higher	+	No
2'-OMe-Oligonucleotide (phosphate)	Higher	+	No
2'-OMe-Oligoribonucleotide (phosphorothioate)	Higher	++	No
Oligodeoxyribonucleotide methylphosphonate	Lower	+++	No

25

30

35

- 1. Duplex stability of oligonucleotide to complementary oligoribonucleotide under physiological conditions, compared to DNA-RNA stability.
- 2. Compared from DNA (phosphodiesterase digestion).
- 3. Activation of RNase H by the duplex formed between oligonucleotide and RNA.

Hybrid oligonucleotides according to the invention form more stable duplexes with complementary RNA than oligodeoxyribonucleotide phosphorothicates. In addition, they are more resistant to endonucleolytic and exonucleolytic degradation than oligodeoxyribonucleotide phosphorothicates and they normally activate RNase H. Consequently, oligonucleotides according to the invention complement the oligonucleotides shown in Table I in

5

10

15

20

25

30

35

studies of the parameters involved in the effectiveness of antisense oligonucleotides.

-10-

With respect to this first aspect of the invention, oligonucleotides according to the invention can have any complementary since sequence, oligonucleotide oligonucleotides used in such study can be prepared having any oligonucleotide sequence. Oligonucleotides invention aspect of the this according to characterized only by the following features. First, at least some of the internucleotide linkages present in to the invention according oligonucleotides phosphorothicate and/or phosphorodithicate linkages. In various embodiments, the number of phosphorothicate and/or phosphorodithioate internucleotide linkages can range from 1 to as many internucleotide linkages as are present in the oligonucleotide. Thus, for purposes of the invention, the term oligonucleotide phosphorothicate and/or phosphorodithioate is intended to encompass every embodiment. embodiment, In a preferred such oligonucleotides according to the invention will range from about 2 to about 50 nucleotides in length, and most preferably from about 6 to about 50 nucleotides in in this preferred embodiment, Thus, length. oligonucleotides according to the invention will have about 49 phosphorothioate 1 to from phosphorodithioate internucleotide linkages.

A second feature of oligonucleotides according to this aspect of the invention is the presence of deoxyribonucleosides. Oligonucleotides according to the invention contain at least one deoxyribonucleoside. Preferably oligonucleotides according to the invention contain four or more deoxyribonucleotides in a contiguous block, so as to provide an activating segment for RNase H. In certain preferred embodiments, more than one such activating segment will be present. Such segments may be present at any location within the oligonucleotide.

5

10

15

20

25

30

35

There may be a majority of deoxyribonucleosides in oligonucleotides

-11-

according to the invention. In fact, such oligonucleotides may have as many as all but one nucleoside being deoxyribonucleosides. Thus, in a preferred embodiment, having from about 2 to about 50 nucleosides or most preferably from about 6 to about 50 nucleosides, the number of deoxyribonucleosides present will range from 1 to about 49 deoxyribonucleosides.

A third feature of oligonucleotides according to aspect of the invention is the presence 2'-substituted ribonucleosides or ribonucleosides, combinations thereof. For purposes of the invention, the term "2'-substituted" means substitution of the 2'-OH of the ribose molecule with, e.g, 2'-OMe, 2'-allyl, 2'-aryl, 2'-alkyl, 2'-halo, or 2'-amino, but not with 2'-H, wherein allyl, aryl, or alkyl groups may be unsubstituted halo, substituted, e.q., with hydroxy, trifluoromethyl, cyano, nitro, acyl, acyloxy, alkoxy, carboxyl, carbalkoxyl or amino groups. Oligonucleotides according to the invention contain at least one ribonucleoside and/or 2'-substituted ribonucleoside. a preferred embodiment, such oligonucleotides have 6 or ribonucleosides 2'-substituted and/or more ribonucleosides to enhance duplex stability. ribonucleosides and/or 2'-substituted ribonucleosides can be present singly, in pairs, or in larger contiguous segments, and may be present at any position within the oligonucleotide or at multiple positions within the Such ribonucleosides and/or oligonucleotide. substituted ribonucleosides may comprise as many as all but one nucleoside within the oligonucleotides. Thus, in a preferred embodiment, having from about 2 to about 50 nucleosides or most preferably from about 6 to about 50 nucleosides, the number of ribonucleosides or 2'-

5

10

15

20

25

30

35

substituted ribonucleosides will range from about 1 to about 49 deoxyribonucleosides.

-12-

The ability to vary the numbers and positions of phosphorodithioate and/or phosphorothioate deoxyribonucleosides. linkages, internucleotide ribonucleosides or 2'-substituted ribonucleosides allows the investigator to examine in detail how each of these variables affects the parameters of nuclease resistance, duplex stability and RNase H activation. The ability to vary the size of the oligonucleotide allows examination In addition, smaller oligos of yet another parameter. (e.g., dimers) can be used as building blocks for larger oligos. Thus, every such possible embodiment described above is useful in such studies.

In a second aspect, the invention provides hybrid in inhibiting oligonucleotides that are effective viruses, pathogenic organisms, or the expression of The ability to inhibit such agents is cellular genes. clearly important to the treatment of a variety of Oligonucleotides according to this disease states. aspect of the invention share the characteristics of the above-described oligonucleotides, except that the oligonucleotide sequence of oligonucleotides according to this aspect of the invention is complementary to a nucleic acid sequence that is from a virus, a pathogenic gene. Preferably cellular or а oligonucleotides are from about 6 to about 50 nucleotides For purposes of the invention, the term in length. "oligonucleotide sequence that is complementary to a intended to mean is sequence" nucleic acid oligonucleotide sequence (2 to about 50 nucleotides) that acid sequence nucleic to the hybridizes physiological conditions, e.g. by Watson-Crick base pairing (interaction between oligonucleotide and singlestranded nucleic acid) or by Hoogsteen base pairing

10

15

20

25

30

35

(interaction between oligonucleotide and double-stranded nucleic acid) or by any other means. Such hybridization under physiological conditions is measured as a practical matter by observing interference with the function of the nucleic acid sequence.

which an to acid sequence The nucleic invention is according to the oligonucleotide complementary will vary, depending upon the agent to be inhibited. In many cases the nucleic acid sequence will be a virus nucleic acid sequence. The use of antisense oligonucleotides to inhibit various viruses is well known, and has recently been reviewed in Agrawal, Tibtech 10:152-158 (1992). Viral nucleic acid sequences that are complementary to effective antisense oligonucleotides have been described for many viruses, including human immunodeficiency virus type 1 (U.S. Patent No. 4,806,463, the teachings of which are herein incorporated by reference), Herpes simplex virus (U.S. Patent No. 4,689,320, the teachings of which are hereby incorporated (U.S. Patent No. Influenza virus by reference), 5,XXX,XXX; Ser. No. 07/516,275, allowed June 30, 1992; the teachings of which are hereby incorporated by reference), and Human papilloma virus (Storey et al., Nucleic Acids Res. 19:4109-4114 (1991)). Sequences complementary to any of these nucleic acid sequences can be used for oligonucleotides according to the invention, as can be oligonucleotide sequences complementary to nucleic acid sequences from any other virus. Additional viruses that have known nucleic acid sequences against which antisense oligonucleotides can be prepared include Foot and Mouth Disease Virus (See Robertson et al., J. Virology <u>54</u>: 651 (1985); Harris et al., J. Virology <u>36</u>: 659 (1980)), Yellow Fever Virus (See Rice et al., Science 229: 726 (1985)), Varicella-Zoster Virus (See Davison and Scott, J. Gen. Virology 67: 2279 (1986), and Cucumber

10

15

20

25

30

35

Mosaic Virus (See Richards et al., Virology 89: 395 (1978)).

Alternatively, oligonucleotides according to the oligonucleotide an sequence have can invention complementary to a nucleic acid sequence of a pathogenic The nucleic acid sequences of many pathogenic organism. organisms have been described, including the malaria organism, Plasmodium falciparum, and many pathogenic Oligonucleotide sequences complementary to bacteria. nucleic acid sequences from any such pathogenic organism in oligonucleotides according to the can be used Examples of pathogenic eukaryotes having invention. known nucleic acid sequences against which antisense oligonucleotides can be prepared include Trypanosoma brucei gambiense and Leishmania (See Campbell et al., Nature 311: 350 (1984)), Fasciola hepatica (See Zurita et al., Proc. Natl. Acad. Sci. USA 84: 2340 (1987). Antifungal oligonucleotides can be prepared using a target hybridizing region having an oligonucleotide sequence that is complementary to a nucleic acid sequence from, e.g., the chitin synthetase gene, and antibacterial oligonucleotides can be prepared using, e.g., the alanine racemase gene.

In yet another embodiment, the oligonucleotides according to the invention can have an oligonucleotide sequence complementary to a cellular gene or gene transcript, the abnormal expression or product of which results in a disease state. The nucleic acid sequences of several such cellular genes have been described, including prion protein (Stahl and Prusiner, FASEB J. 5: 2799-2807 (1991)), the amyloid-like protein associated with Alzheimer's disease (U.S. Patent No. 5,015,570, the teachings of which are hereby incorporated by reference), and various well-known oncogenes and proto-oncogenes, such as c-myb, c-myc, c-abl, and n-ras. In addition,

5

10

15

20

25

30

35

oligonucleotides that inhibit the synthesis of structural proteins or enzymes involved largely or exclusively in spermatogenesis, sperm motility, the binding of the sperm to the egg or any other step affecting sperm viability may be used as contraceptives for men. Similarly, contraceptives for women may be oligonucleotides that inhibit proteins or enzymes involved in ovulation, fertilization, implantation or in the biosynthesis of hormones involved in those processes.

-15-

be controlled can by Hypertension oligodeoxynucleotides that suppress the synthesis of angiotensin converting enzyme or related enzymes in the renin/angiotensin system; platelet aggregation can be controlled by suppression of the synthesis of enzymes necessary for the synthesis of thromboxane A2 for use in myocardial and cerebral circulatory disorders, infarcts, arteriosclerosis, embolism and thrombosis; deposition of cholesterol in arterial wall can be inhibited by suppression of the synthesis of fattyacryl co-enzyme A: arteriosclerosis; cholesterol acyl transferase in inhibition of the synthesis of cholinephosphotransferase may be useful in hypolipidemia.

There are numerous neural disorders in which hybridization arrest can be used to reduce or eliminate adverse effects of the disorder. For example, suppression of the synthesis of monoamine oxidase can be used in Parkinson's disease; suppression of catechol o-methyl transferase can be used to treat depression; and suppression of indole N-methyl transferase can be used in treating schizophrenia.

Suppression of selected enzymes in the arachidonic acid cascade which leads to prostaglandins and leukotrienes may be useful in the control of platelet aggregation, allergy, inflammation, pain and asthma.

Suppression of the protein expressed by the multidrug resistance (mdr) gene, which is responsible for

5

10

15

20

25

30

-16-

development of resistance to a variety of anti-cancer drugs and is a major impediment in chemotherapy may prove to be beneficial in the treatment of cancer.

Oligonucleotide sequences complementary to nucleic acid sequences from any of these genes can be used for oligonucleotides according to the invention, as can be oligonucleotide sequences complementary to any other cellular gene or gene transcript, the abnormal expression or product of which results in a disease state.

Antisense regulation of gene expression in plant cells has been described in U.S. Patent No. 5,107,065, the teachings of which are hereby incorporated by reference.

aspect, the invention provides third In pharmaceutical formulations therapeutic oligonucleotides that are effective for treating virus infection, infections by pathogenic organisms, or disease resulting from abnormal gene expression or from the expression of an abnormal gene product. Such therapeutic pharmaceutical formulations comprise the oligonucleotides according to the second aspect of the invention in a pharmaceutically acceptable carrier.

In a fourth aspect, the invention provides a method for inhibiting the gene expression of a virus, a pathogenic organism or a cellular gene, the method comprising the step of providing oligonucleotides according to the invention to cells infected with the virus or pathogenic organism in the former two cases or to cells generally in the latter case. Such methods are useful in studying gene expression and the function of specific genes.

In a fifth aspect, the invention provides a method of treating a diseased human or animal in which the

5

10

15

20

25

30

35

disease results from infection with a virus or pathogenic organism, or from the abnormal expression or product of The method comprises administering a cellular gene. pharmaceutical formulations of therapeutic oligonucleotides according to the invention to the diseased human or animal. Preferably, the routes of such administration will include oral, intranasal, rectal and In such methods of treatment topical administration. according to the invention the oligonucleotides may be administered in conjunction with other therapeutic agents, e.g., AZT in the case of AIDS.

-17-

A variety of viral diseases may be treated by the method of treatment according to the invention, including AIDS, ARC, oral or genital herpes, papilloma warts, flu, foot and mouth disease, yellow fever, chicken pox, shingles, HTLV-leukemia, and hepatitis. Among fungal diseases treatable by the method of treatment according invention are candidiasis, histoplasmosis, blastomycosis, aspergillosis, cryptococcocis, sporotrichosis, chromomycosis, dermatophytosis coccidioidomycosis. The method can also be used to treat Rocky Mountain rickettsial diseases (<u>e.g.</u>, typhus, spotted fever), as well as sexually transmitted diseases caused by Chlamydia trachomatis or Lymphogranuloma venereum. A variety of parasitic diseases can be treated by the method according to the invention, including toxoplasmosis, disease, amebiasis, Chegas' giardiasis, cryptosporidiosis, pneumocystosis, trichomoniasis, and Pneumocystis carini pneumonia; also such as ascariasis, (helminthic diseases) worm filariasis, trichinosis, schistosomiasis and nematode or cestode infections. Malaria can be treated by the method of treatment of the invention regardless of whether it is caused by P. falciparum, P. vivax, P. orale, or P. malariae.

WO 94/02498 PCT/US93/06884 -18-

5

10

15

20

25

The infectious diseases identified above can all be treated by the method of treatment according to the invention because the infectious agents for these diseases are known and thus oligonucleotides according to the invention can be prepared, having oligonucleotide sequence that is complementary to a nucleic acid sequence that is an essential nucleic acid sequence for the propagation of the infectious agent, such as an essential gene.

Other disease states or conditions that are treatable by the method according to the invention result from an abnormal expression or product of a cellular gene. These conditions can be treated by administration of oligonucleotides according to the invention., and have been discussed earlier in this disclosure.

Oligonucleotides according to the invention can be synthesized by procedures that are well known in the art. Alternatively, and preferably such oligonucleotides can be synthesized by the H-phosphonate approach described in U.S. Patent No. 5,XXX,XXX (Ser. No. 07/334,679; allowed on March 10, 1992), the teachings of which are hereby incorporated by reference, and in Agrawal and Tang, Tetrahedron Lett. 31: 7541-7544 (1990). Oligonucleotides according to the invention can be made even more resistant to nucleolytic degradation through the addition of cap structures at the 5' and/or 3' end.

The following examples are intended to further illustrate certain preferred embodiments of the invention and are not intended to be limiting in nature.

5

10

15

20

25

-19-

Example 1 Synthesis of Hybrid Oligonucleotide Phosphorothioates

oligonucleotide phosphorothioates were Hybrid synthesized on CPG on a 5-6 $\mu \mathrm{mole}$ scale on an automated synthesizer (model 8700, Millipore, Milford, MA) using the H-phosphonate approach described in U.S. Patent No. 5, XXX, XXX (Ser. No. 07/344, 679; allowed on March 19, 1992). Deoxynucleoside H-phosphonates were obtained from 2'-OMe ribonucleotide H-phosphonates were Millipore. synthesized by standard procedures. Segments oligonucleotides containing 2'-OMe nucleoside were assembled by using 2'-OMe ribonucleoside H-phosphonates Similarly, for the desired cycles. segments of oligonucleotides containing deoxyribonucleosides were assembled by using deoxynucleoside H-phosphonates for the assembly, CPG cycles. After desired oligonucleotide H-phosphonate was oxidized with sulfur to generate the phosphorothioate linkage. Oligonucleotides were then deprotected in concentrated NH4OH at 40°C for 48 hours.

Crude oligonucleotide (about A_{260} units) was analyzed on reverse low pressure chromatography on a C_{18} reversed phase medium. The DMT group was removed by treatment with 80% aqueous acetic acid, then the oligonucleotides were dialyzed against distilled water and lyophilized.

The oligonucleotides synthesized are shown in Table II, below.

TABLE II

HYBRID OLIGONUCLEOTIDE PHOSPHOROTHIOATES* SYNTHESIZED

Oligo	Structure
A	SACACCCAATTCTGAAAATGG3
В	A C A C C C A A T T C <u>U G A A A A U G</u> G
С	A C A C C C A A T T C T G A A A U G G
D	<u>A C</u> A C C <u>C A</u> A T T C <u>U G</u> A A A A <u>U G</u> G
Е	A <u>C A C C C A A U</u> T C T G A A A A T G G
F	A C A C C C A A U U C U G A A A A U G G

5

Underlined sequences contain 2'-OMe ribonucleoside.

Example 2 Relative Nuclease Resistance of Hybrid Oligonucleotide Phosphorothioates

To test the relative nuclease resistance of various 15 phosphorothioates, the oligonucleotide hybrid were treated with snake venom oligonucleotides phosphodiesterase (SVPD). About 0.2 A260 units of oligos A, C and F were dissolved in $500\mu l$ buffer (40mM NH_4CO_3 , pH 0.4 + 20mM MgCl₂) and mixed with ____ units SVPD. 20 mixture was incubated at 37°C for 420 minutes. After 0, 200 and 420 minutes, $165\mu l$ aliquots were removed and analyzed using ion exchange HPLC. The results are shown Oligonucleotide F was very resistant to in Figure 1. phosphodiesterase, whereas oligonucleotide A was digested 25 almost to completion and oligonucleotide C was digested to 50% (panel A). An oligonucleotide phosphodiester was

^{*} All internucleotide linkages are phosphorothioate linkages for oligos A-G.

5

10

15

20

25

-21-

digested to about 80% in one minute using one tenth of the concentration of SVPD.

These results indicate that the presence of 2'-OMe ribonucleosides in an oligonucleotide phosphorothicate enhances resistance to exonucleolytic digestion and that enhanced resistance increased when a proportion of 2'-OMe ribonucleotides are used. the similar character and behavior of ribonucleotides, 2'-substituted ribonucleotides and ribonucleotides, these results also suggest that similar enhancement of nuclease resistance would be obtained for phosphorothioates hybrid oligonucleotide ribonucleotides, phosphorodithioates having ribonucleotides, mixture of or a substituted ribonucleotides and 2'-substituted ribonucleotides.

Example 3

Relative Duplex Stability of Hybrid Oligonucleotide Phosphorothioates

Oligonucleotides A-F were tested for their relative complementary with stability duplexes formed of with complementary oligodeoxyribonucleotides, and In separate reactions, oligoribonucleotides. oligonucleotide A-F was mixed with an equivalent quantity (0.2 A_{260} units) of its complementary oligonucleotide in 150 mM NaCl, 10mM Na₂PO₄, 1mM EDTA, pH 7. The mixture was heated to 85°C for 5 minutes, then cooled to 30°C. temperature was then increased from 30°C to 80°C at a rate of 1°C per minute and A_{260} was recorded as a function of temperature. The results are shown in Table III, below.

TABLE III
MELTING TEMPERATURE OF DUPLEXES

Oligonucleotide Number	DNA-DNA Duplex	Duplex	DNA-RNA Duplex	Duplex	Tm RNA Duplex-Tm RNA Duplex (°C)	DNA-RNA Duplex w/Magnesium	. Duplex tesium
	Tm DNA (°C)	Difference in Tm compared to oligonucleo-	Tm RNA (°C)	Difference in Tm compared to oligonucleo-		Tm RNA (Mg)(°C)	Difference in Trn compared to oligonucleo-
		tide A(°C)		tide . A(°C)			tide A(°C)
4	51.1	0	43.4	0	7.7-	48.1	0
8	48.3	-2.8	50.9	7.5	2.6	58.4	10.3
C	49.9	-1.2	48.9	5.5	-1.0	54.2	6.1
ē	45.1	-6.0	50.9	7.5	8.68	56.1	8.0
E	47.2	-3.9	51.1	7.7	3.9	56.5	8.4
Ĺs.	47.6	3.5	61.1	17.7	13.5	69.1	21.0

-23-

These results reveal that when the complementary oligonucleotide is an oligoribonucleotide, the presence of 2'-OMe ribonucleotides enhances duplex stability, and that this enhancement increases with increased proportions of 2'-OMe ribonucleosides. These results should be similarly applicable to hybrid oligonucleotide phosphorothioates and/or phosphorodithioates containing ribonucleotides, 2'substituted ribonucleotides, or mixtures of ribonucleotides and 2'-substituted ribonucleotides. Thus, the hybrid phosphorothioates oligonucleotide phosphorodithioates according to the invention should bind viral RNA or virus, pathogenic organism or cellular mRNA with greater affinity than ordinary oligodeoxynucleotide phosphorothioates.

15

20

25

30

10

Example 4

Activation of RNase H by Hybrid Oligonucleotide Phosphorothicates

Oligonucleotide phosphorothioates and various hybrid oligonucleotide phosphorothioates were studied for their RNase H activation properties. Oligonucleotide A (Table II), an oligonucleotide phosphorothioate which is known to activate RNase H, was used as a control. Oligonucleotide F (a 2'-OMe analog of oligonucleotide phosphorothioate) and oligonucleotides C, B, and E, hybrid oligonucleotides, were studied for their ability to activate RNase H.

To carry out the experiment, a complementary 32-mer oligoribonucleotide was synthesized (Figure 2) and kinased at the 5'-end, 32 P-labeled 32-mer RNA (0.003 A₂₆₀ units; 0.01 μ g) and oligonucleotides (0.0635 A₂₆₀ units; 1.9 μ g) were mixed in the 20 μ l of buffer (0.15 M NaCl, 0.01 MgCl₂, 0.01 M Tris chloride, pH 7.9, containing 0.001 M DTT. The mixture was incubated with 6 units of RNase H (*E. Coli*) at 37°C. Aliquots of 4.5 μ l were removed at 0, 15, 30, and 60 minutes and analyzed on polyacrylamide gel electrophoresis.

Oligonucleotide A (Duplex A) showed site specific cleavage of RNA by RNase H. Oligonucleotide F (2'-OMe analog; Duplex B) showed no cleavage of RNA in presence of RNase H. Hybrid oligonucleotide B, C, and E (Duplexes C, D, E, resp.) showed site specific cleavage of RNA by RNase H. Duplex F, in which a mismatched oligonucleotide phosphorothicate was studied showed no cleavage of RNA. Lane G shows that in presence of RNase H, RNA was not cleaved.

10

15

20

25

30

Example 5

Inhibition of HIV by Hybrid Oligonucleotide Phosphorothicates

Hybrid oligonucleotide phosphorothioates were tested for their ability to inhibit HIV-1 in tissue culture. H9 lymphocytes were infected with HIV-1 virions (=0.01 - 0.1 TCID₅₀/cell) for one hour at 37°C. After one hour, unadsorbed virions were washed and the infected cells were divided among wells of 24 well plates. To the infected cells, an appropriate concentration (from stock solution) of oligonucleotide was added to obtain the required concentration in 2 ml medium. In a positive control experiment ddC or AZT was added. The cells were then At the end of three days, cultured for three days. supernatant from the infected culture was collected and measured for p24 expression by ELISA. The level of expression of -24 was compared between oligonucleotide treated and untreated (no drug) infected cells.

All of the hybrid oligonucleotide phosphorothicates tested showed significant inhibition of p24 expression at $\mu g/ml$ concentrations, without significant cytotoxicity (data not shown). These results indicate that hybrid oligonucleotide phosphorothicates containing 2'-OMe ribonucleotides are effective as inhibitors of gene

-25-

expression. Similar effectiveness would be expected for hybrid oligonucleotide phosphorothioates and/or phosphorodithioates containing ribonucleosides, 2'-substituted ribonucleosides, or a mixture of ribonucleosides and 2'-substituted ribonucleosides.

WE CLAIM:

10

15

20

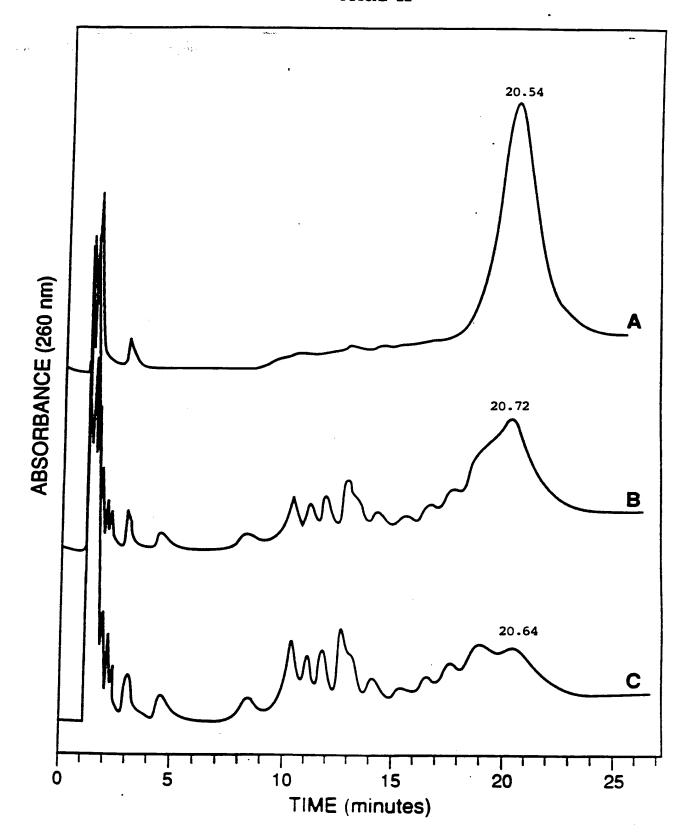
25

30

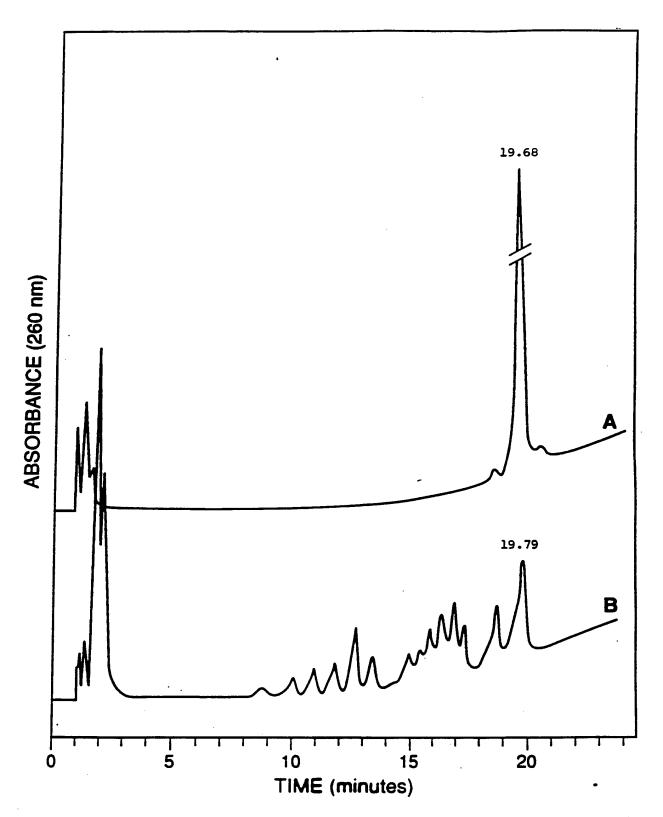
- 1. A hybrid oligonucleotide phosphorothioate and/or phosphorodithioate comprising one each of the following: a deoxyribonucleoside, a ribonucleoside or a 2'-substituted ribonucleoside, and a phosphorothioate and/or phosphorodithioate internucleotide linkage.
- 2. A hybrid oligonucleotide phosphorothicate and/or phosphorodithicate according to claim 1, wherein the deoxyribonucleoside is present in a segment of at least four contiguous deoxyribonucleosides.
- 3. A hybrid oligonucleotide phosphorothioate and/or phosphorodithioate according to claim 1, wherein the ribonucleoside or 2'-substituted ribonucleoside is present in a segment of at least two contiguous ribonucleosides and/or 2'-substituted ribonucleosides.
- 4. A hybrid oligonucleotide phosphorothicate and/or phosphorodithicate according to claim 1, having an oligonucleotide sequence that is complementary to a nucleic acid sequence from a virus, a pathogenic organism, or a cellular gene.
- 5. A hybrid oligonucleotide phosphorothioate and/or phosphorodithioate according to claim 2, having an oligonucleotide sequence that is complementary to a nucleic acid sequence from a virus, a pathogenic organism, or a cellular gene.
- 6. A hybrid oligonucleotide phosphorothioate and/or phosphorodithioate according to claim 3, having an oligonucleotide sequence that is complementary to a nucleic acid sequence from a virus, a pathogenic organism, or a cellular gene.

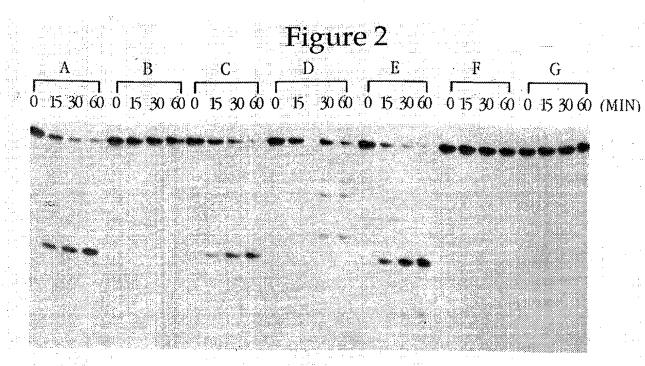
- 7. A therapeutic pharmaceutical formulation comprising an oligonucleotide according to claim 4 in a pharmaceutically acceptable carrier.
- 8. A therapeutic pharmaceutical formulation comprising an oligonucleotide according to claim 5 in a pharmaceutically acceptable carrier.
 - 9. A therapeutic pharmaceutical formulation comprising an oligonucleotide according to claim 6 in a pharmaceutically acceptable carrier.
- 10. A method of inhibiting the gene expression of a virus, a pathogenic organism, or a cellular gene, the method comprising the step of providing an oligonucleotide according to claim 4 to a cell that is infected with a virus, to a pathogenic organism, or to a cell, respectively.
- 11. A method of inhibiting the gene expression of a virus, a pathogenic organism, or a cellular gene, the method comprising the step of providing an oligonucleotide according to claim 5 to a cell that is infected with a virus, to a pathogenic organism, or to a cell, respectively.
- 12. A method of inhibiting the gene expression of a virus, a pathogenic organism, or a cellular gene, the method comprising the step of providing an oligonucleotide according to claim 6 to a cell that is infected with a virus, to a pathogenic organism, or to a cell, respectively.

1/3 · FIGURE la



2/3 FIGURE 1b





			Oligo- nucleotide	Du- plex
RNA	3′ 5′	UACAGCUGUGGGUUAAGACUUUUACCUAUUUG 5 ′ ACACCCAATTCTGAAAATGG 3 ′	A	1
RNA	3′ 5′	UACAGCUGUGGGUUAAGACUUUUUACCUAUUUG 5 ′ ACACCCAAUUCUGAAAAUGG 3 ′	F	2
RNA	3′ 5′	UACAGCUGUGGGUUAAGACUUUUACCUAUUUG 5 ′ ACACCCAATTCTGAAAAUGG 3 ′	С	3
RNA .	3′ 5′	UACAGCUGUGGGUUAAGACUUUUACCUAUUUG 5 ′ ACACCCAATTO <u>UGAAAAUG</u> G 3 ′	В	4
RNA	3′ 5′	UACAGCUGUGGGUUAAGACUUUUACCUAUUUG 5 ′ ACACCCAAUTCTGAAAATGG 3 ′	E	5
RNA	3′ 5′	UACAGCUGUGGGUUAAGACUUUUACCUAUUUG 5 'ACAGACTTACCTCAGATAAT 3 '	MIS- MATCHED	6
RNA	3′	UACAGCUGUGGGUUAAGACUUUUUACCUAUUUG 5'		
		= 2'-OCH ₃ -RIBO UNITS		

SUBSTITUTE SHEET

In: .ional Application No PCT/US 93/06884

A. CLASSIFICATION OF SUBJECT MATTER
IPC 5 C07H21/00 A61K31/70 According to International Patent Classification (IPC) or to both national classification and IPC **B. FIELDS SEARCHED** Minimum documentation searched (classification system followed by classification symbols) CO7H A61K C12N IPC 5 Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched Electronic data base consulted during the international search (name of data base and, where practical, search terms used) C. DOCUMENTS CONSIDERED TO BE RELEVANT Relevant to claim No. Category * Citation of document, with indication, where appropriate, of the relevant passages 1-12 X TRENDS IN BIOTECHNOLOGY vol. 10, no. 5 , May 1992 , CAMBRIDGE GB pages 152 - 158 AGRAWAL S. 'Antisense oligonucleotides as antiviral agents' cited in the application see table 2 WO,A,90 15814 (MEIOGENICS INC) 27 December 1-12 Y see the whole document -/--Further documents are listed in the continuation of box C. X Patent family members are listed in annex. Special categories of cited documents: "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the "A" document defining the general state of the art which is not considered to be of particular relevance "E" earlier document but published on or after the international "X" document of particular relevance; the claimed invention filing date cannot be considered novel or cannot be considered to "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) involve an inventive step when the document is taken alone "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such document. document referring to an oral disclosure, use, exhibition or ments, such combination being obvious to a person skilled in the art. other means document published prior to the international filing date but later than the priority date claimed "&" document member of the same patent family Date of mailing of the international search report Date of the actual completion of the international search 3 0. 11. 93 16 November 1993 Authorized officer Name and mailing address of the ISA European Patent Office, P.B. 5818 Patentiaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, DAY, G Fax: (+31-70) 340-3016

Inta ional Application No
PCT/US 93/06884

C /Cantinus	ntion) DOCUMENTS CONSIDERED TO BE RELEVANT	
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	NUCLEIC ACIDS RESEARCH vol. 19, no. 11 , 1991 , ARLINGTON, VIRGINIA US pages 2979 - 2986 KIBLER-HERZOG L. ET AL 'Duplex stabilities of phosphorothioate, methylphosphonate, and RNA analogs of two DNA 14-mers' see abstract	1-12
Y	NUCLEIC ACIDS RESEARCH vol. 17, no. 1 , 1989 , ARLINGTON, VIRGINIA US pages 239 - 252 SHIBAHARA S. ET AL 'Inhibition of human immunodeficiency virus (HIV-1) replication by synthetic oligo-RNA derivatives' see abstract	1-12
Y	FEBS LETTERS vol. 215, no. 2 , May 1987 , AMSTERDAM NL pages 327 - 330 INOUE H ET AL 'Sequence-dependent hydrolysis of RNA using modified oligonucleotide splints and RNase H' cited in the application see the whole document	1-12

Int. ..ational application No.

PCT/US 93/06884

Box I	Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)
This int	ernational search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:
1. X	Claims Nos.: because they relate to subject matter not required to be searched by this Authority, namely: Remark: Although calims 10-12 are partially directed to a method of treatment of the human/animal body, the search has been carried out and based on the alleged effects of the compound/composition.
2.	Claims Nos.: because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
3.	Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).
Box II	Observations where unity of invention is lacking (Continuation of item 2 of first sheet)
This In	sternational Searching Authority found multiple inventions in this international application, as follows:
1.	As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2.	As all searchable claims could be searches without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3.	As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
4.	No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:
Rema	The additional search fees were accompanied by the applicant's protest. No protest accompanied the payment of additional search fees.
	140 brocest moonthames are believed

Information on patent family members

Int ional Application No
PCT/US 93/06884

Patent document ed in search report	Publication date	Patent memi	family per(s)	Publication date
)-A-9015814	27-12-90	AU-A-	5931290	08-01-91
	•			
			,	